

See Paper #2

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| Form 1449 (modified) | Docket: 097/002 | U.S.S.N. To Be Assigned |
| Information Disclosure Statement By Applicant | Title: Tolerizing Allografts of Pluripotent Stem Cells Inventors: Choy-Pik Chiu, Robert M. Kay | |
| (Use Several Sheets if Necessary) | Filing Date: November 21, 2001 | Group: To Be Assigned 1636 |

JCE28 U.S. PRO
09/990522

11/21/01

U.S. Patent Documents

| Examiner Initial | Ref. | Patent No. | Filing Date | Issue Date | Class/ Subclass | Inventors: | Title: |
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| QN | A | 5,082,670 | Dec 15/88 | Jan 21/92 | 424/520 | Gage, F., et al. | Method of Grafting Genetically Modified Cells to Treat Defects, Disease or Damage or the Central Nervous System |
| | B | 5,635,387 | Apr 3/95 | Jun 3/97 | 435/378 | Fei RG et al. | Methods and Device for Culturing Human Hematopoietic Cells and Their Precursors |
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| | D | 5,716,411 | Feb 6/96 | Feb 10/98 | 623/15 | Orgill, D., et al. | Method of Skin Regeneration Using A Collagen-Glycosaminoglycan Matrix and Cultured Epithelial Autograft |
| | E | 5,733,541 | Apr 21/95 | Mar 31/98 | 424/93.1 | Taichman RS et al. | Hematopoietic Cells: Compositions and Methods |
| | F | 5,736,396 | Jan 24/95 | Apr 7/98 | 435/366 | Bruder SP et al. | Lineage-directed Induction of Human Mesenchymal Stem Cell Differentiation |
| | G | 5,750,397 | Jun 6/95 | May 12/98 | 435/372 | Tsukamoto A et al. | Human Hematopoietic Stem Cell |
| | H | 5,843,425 | Jun 5/95 | Dec 1/98 | 424/93.1 | Sachs DH et al. | Transplantation and Graft-Versus-Host-Disease |
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| | K | 5,858,963 | May 8/95 | Jan 12/99 | 514/2 | Hawley RJ et al. | Inducing Xenograft Tolerance and Porcine Cytokines Therefor |
| | L | 5,863,528 | May 26/95 | Jan 26/99 | 424/85.1 | Hawley RJ et al. | Porcine Cytokines |
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| | N | 5,968,829 | Sep 5/97 | Oct 19/99 | 435/467 | Carpenter M | Human CNS Neural Stem Cells |
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| | P | 6,015,554 | Jun 7/95 | Jan 18/00 | 424/93.7 | Galy AHM | Method of Reconstituting Human Lymphoid and Dendritic Cells |
| QN | Q | 6,090,622 | Mar 31/97 | Jul 18/00 | 435/366 | Gearhart, J., et al. | Human Embryonic Pluripotent Germ Cells |

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| Examiner Initial | Ref. | Document No. | Publ. Date | Jurisdiction | Title: | Translation | |
|------------------|------|--------------|------------|--------------|---------------------------------------|-------------|----|
| | | | | | | Yes | No |
| QN | R | WO 93/09815 | May 27/93 | PCT | Specific Tolerance in Transplantation | | |
| QN | S | WO 93/13785 | Jul 22/93 | PCT | Induced Tolerance to Xenografts | | |
| Examiner | | | | | Date Considered | | |
| [Signature] | | | | | 6/4/03 | | |

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JCEB U.S. PTC
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 11/21/01

For Ign Patent r Published F reign Patent Applicati n

| Examiner Initial | Ref. | Document No. | Publ. Date | Jurisdiction | Title: | Translation | |
|------------------|------|--------------|------------|--------------|--|-------------|----|
| | | | | | | Yes | No |
| QN | T | WO 93/18137 | Sep 16/93 | PCT | Culturing of Hematopoietic Stem Cells and Their Genetic Engineering | | |
| | U | WO 95/03062 | Feb 2/95 | PCT | Methods and Compositions for Preventing Immune Rejection of Solid Organ Grafts | | |
| | V | WO 95/21527 | Aug 17/95 | PCT | Stem Cell Engraftment | | |
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| | Y | WO 99/39727 | Aug 12/99 | PCT | Costimulatory Blockade and Mixed Chimerism in Allotransplantation | | |
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| QN | AA | WO 00/06194 | Feb 10/00 | PCT | Depletion of Cells Responsible for Antibody-Mediated Graft Rejection | | |

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| Quayle | | 6/4/03 |

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| (Use Several Sheets if Necessary) | Filing Date: November 21, 2001 | Group: To Be Assigned |

10/9/99 U.S. PTO



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| Examiner | Date Considered |
| <i>Quay Nguyen</i> | 6/4/03 |

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Form 1449 (modified)

Docket: 097/002

U.S.S.N. To Be Assigned

Information Disclosure
Statement By Applicant

Title: Tolerizing Allografts of Pluripotent Stem Cells
Inventors: Choy-Pik Chiu, Robert M. Kay

(Use Several Sheets if Necessary)

Filing Date: November 21, 2001

Group: To Be Assigned

1636

U.S. PTO
09/090522

11/21/01

Other Documents

| Examiner Initial | Ref. | Author, Title, Date, Source |
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| | BN | Steinman, R., et al., "The Induction of Tolerance by Dendritic Cells that Have Captured Apoptotic Cells," J. Exp. Med. 191:411 (2000) |
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| Examiner | <i>Quincy Dwyer</i> | Date Considered | 6/14/03 |
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PTO-1449 — Page 4

See Paper #3

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| Form 1449 (modified) | Docket: 097/002 | S.S.N. 09/990,522 |
| Information Disclosure Statement By Applicant | Title: Tolerizing Allografts of Pluripotent Stem Cells Inventors: Choy-Pik Chiu, Robert M. Kay | |
| (Use Several Sheets if Necessary) | Filing Date: November 21, 2001 | Group: 1632 |

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U.S. Patent Documents

| Examiner Initial | Ref. | Patent No. | Filing Date | Issue Date | Class/ Subclass | Inventors: | Title: |
|---------------------|------|---------------|----------------|---------------|--------------------|--------------------|--|
| QW | CA | 5,914,268 | Nov 21/94 | Jun 22/99 | 435/325 | G.M. Keller et al. | Embryonic Cell Population and Methods to Isolate Such Populations |
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Foreign Patent or Published Foreign Patent Application

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| (None) |
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Other Documents

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| Examiner <i>Quang Nguyen</i> | Date Considered <i>6/5/03</i> |
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PTO-1449 — Page 1

See Paper #14

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|---|---|-------------------|
| Form 1449 (modified) | Docket: 097/002 3d Supplemental | S.S.N. 09/990,522 |
| Information Disclosure Statement By Applicant | Title: Tolerizing Allografts of Pluripotent Stem Cells Inventors: Choy-Pik Chiu, Robert M. Kay | |
| (Use Several Sheets if Necessary) | Filing Date: November 21, 2001 | Group: 1636 |

U.S. Patent Documents

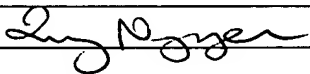
| Examiner Initial | Ref. | Patent No. | Filing Date | Issue Date | Class/ Subclass | Inventors: | Title: |
|------------------|------|------------|-------------|------------|-----------------|------------|--------|
| NONE | | | | | | | |

Foreign Patent or Published Foreign Patent Application

| Examiner Initial | Ref. | Document No. | Publ. Date | Jurisdiction | Title: | Translation |
|------------------|------|--------------|------------|--------------|--|-------------|
| QN | EA | WO 98/42838 | Oct 1/98 | PCT | Universal Stem Cells | N/A |
| QN | EB | WO 02/46401 | Jun 13/02 | PCT | Use of Cells Derived from Embryonic Stem Cells for Increasing Transplantation Tolerance and for Repairing Damaged Tissue | Abstract |

Other Documents

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| Examiner | Date Considered |
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| Form 1449 (modified) | Docket: 097/002 | S.S.N. 09/990,522 |
| Third Supplemental Information Disclosure Statement By Applicant | Title: Tolerizing Allografts of Pluripotent Stem Cells Inventors: Choy-Pik Chiu, Robert M. Kay | |
| (Use Several Sheets if Necessary) | Filing Date: November 21, 2001 | Group: 1636 |

U.S. Patent Documents

| Examiner Initial | Ref. | Patent No. | Filing Date | Issue Date | Class/ Subclass | Inventors: | Title: |
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| none | | | | | | | |

Foreign Patent or Published Foreign Patent Application

| Examiner Initial | Ref. | Document No. | Publ. Date | Jurisdiction | Title: | Translation |
|------------------|------|--------------|------------|--------------|---|-------------|
| | ED | WO 00/12682 | Mar 9/00 | PCT | Primate Embryonic Stem Cells with Compatible Histocompatibility Genes | N/A |
| | EE | WO 00/28000 | May 18/00 | PCT | Method for Producing Dendritic Cells | N/A |
| | EF | WO 01/11011 | Feb 15/01 | PCT | Multipotent Adult Stem Cells and Methods for Isolation | N/A |

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